



Retail Distribution Review

FSA Guide – Is your firm on track?

The FSA has issued a guide which is relevant to all firms impacted by the Retail Distribution Review. This guide is entitled 'RDR - Is your firm on track?'

It is intended to act as a useful tool for firms to assess their progress in implementing the RDR and specifically the three main topics of:

- Professionalism
- Adviser Charging
- Independent or restricted advice

Please click on the link below to access the guide. The FSA has also recently indicated in its February 2012 Regulatory Update for small firms that it will be carrying out surveys of firms to assess progress on RDR implementation.

<http://www.fsa.gov.uk/pubs/other/rdr-guide.pdf>

For further assistance and guidance on the Retail Distribution Review or for an independent assessment on implementation, please contact Gillian Gallacher.